



11-17-04

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PATENT  
ATTORNEY DOCKET NO. 10498-00054

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Li-Huei Tsai, Ming-Sum Lee, and  
Jonathan Cruz

Serial No.: 10/625,986

Filed: July 24, 2003

Title: COMPOUNDS AND METHODS FOR TREATING  
NEURODEGENERATIVE DISORDERS

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) Examiner:  
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) Art Unit: 1614  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

In regard to the above identified application, we are transmitting herewith the attached:

1. Supplemental Information Disclosure Statement (2 pages),
2. PTO Form-1449 (1 page),
3. Copies of three (3) references, and
4. Return postcard.

With respect to additional fees, no fees are due. The Commissioner is nonetheless authorized to charge any additional fees or credit overpayment to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: November 16, 2004

By

John P. Iwanicki, Reg. No. 34,628  
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28 State Street, 28th Floor  
Boston, MA 02109  
(617) 720-9600



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**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit the enclosed PTO Form 1449. Copies of the references are enclosed.

This Information Disclosure Statement is in compliance with the continuing duty of candor as set forth in 37 C.F.R. § 1.56 and is being submitted before the mailing date of a first Office Action on the merits.

In the judgment of the undersigned, portions of the listed references may be material to the Examiner's consideration of the presently pending claims. However, the references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This


statement is not a representation that the listed references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. § 102 or § 103.

Please apply any charges or credits to Deposit Account No. 19-0733.

Respectfully submitted,

Dated: November 16, 2004

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## INFORMATION DISCLOSURE CITATION

Sheet 1 of 1

Applicants: Li-Huei Tsai et al.

Filing Date: July 24, 2003

Group: 1614

## U.S. PATENT DOCUMENTS

Examiner Initial	Patent No.	Date	Name	Class	Subclass	Filing Date (if appropriate)
	AA					
	AB					
	AC					
	AD					
	AE					
	AF					
	AG					

## FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Class	Subclass	Translation	
							YES	NO
	AH							
	AI							
	AJ							
	AK							
	AL							
	AM							

## OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

AN	Cruz et al., "Aberrant Cdk5 activation by p25 triggers pathological events leading to neurodegeneration and neurofibrillary tangles," <i>Neuron</i> , 40(3):471-483 (2003)
AO	Wang et al., "Cdk5 activation induces hippocampal CA1 cell death by directly phosphorylating NMDA receptors," <i>Nat. Neurosci.</i> , 6(10):1039-1047 (2003)
AP	Zhang et al., "Cyclin-dependent kinase inhibitors attenuate protein hyperphosphorylation, cytoskeletal lesion formation, and motor defects in Niemann-Pick Type C mice," <i>Am J Pathol.</i> , 165(3):843-853 (2004)
AQ	
AR	

EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. \*\*Copies of references not provided at the time of this submission.